



#4

7217/60196

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
SEP 23 2003

OFFICE OF PETITIONS

Applicants : Izuru Tanaka  
Serial No. : 09/600,925  
Filed : July 24, 2000  
For : ELECTRONIC EQUIPMENT AND OPERATION CONTROL  
METHOD THEREFOR

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Petitions

JAY H. MAIOLI  
Reg. No. 27,213

Date

09-18-03

September 18, 2003  
1185 Avenue of the Americas  
New York, NY 10036  
(212) 278-0400

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNAVOIDABLY UNDER 37 C.F.R. §1.137(a)

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-identified application became abandoned for failure to respond to a Notification of Missing Requirements, mailed August 8, 2000 within the time period set therein. A Notice of Abandonment was mailed November 13, 2002.

09/23/2003 AWONDAF1 00000052 09600925

~~01 FC:1452~~

~~110.00 OP~~

1

Adjustment date: 01/06/2004 GFREY1  
09/23/2003 AWONDAF1 00000052 09600925  
01 FC:1452 -110.00 OP

Repln. Ref: 01/06/2004 GFREY1 0013553300  
DAH:033125 Name/Number:09600925  
FC: 9204 \$110.00 CR

The undersigned states that he did not receive the above-noted Notification of Missing Requirements or the Notice of Abandonment. A search of the file jacket and docket records confirms this fact. A copy of the docket record where the non-received Office communications would have been entered is attached as Exhibit A.

A courtesy copy of the Notification of Missing Requirements and of the Notice of Abandonment was sent via fax to the undersigned's office on August 11, 2003 and is attached as Exhibit B.

A review of the Notification of Missing Requirements and of the Notice of Abandonment reveals that the undersigned's office address is incomplete. The office name "Cooper & Dunham LLP" is missing from the mailing address. The proper correspondence address was indicated on the filing papers of the above-identified application and is attached as Exhibit C.

In all likelihood the reason why the undersigned did not receive any Office communications in the above-identified application including the subject Notification of Missing Requirements and the Notice of Abandonment is because of a clerical error by the Patent Office in entering the undersigned address.

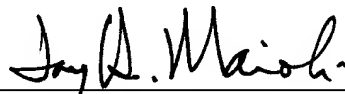
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS  
APPLICATION

Submitted herewith are:

1. Petition Fee under 37 C.F.R. §1.17(1): \$110.00
2. Reply:
  - a. Response to file Missing Parts (Exhibit D)
  - b. Assignment and recordation form (Exhibit E)
3. Since this application was filed on or after June 8, 1995, no terminal disclaimer fee is required.

For the above-stated reasons, it is respectfully submitted that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 C.F.R. §1.137(a) was unavoidable.

Respectfully submitted.



Jay H. Maioli  
Reg. No. 27,213  
COOPER & DUNHAM LLP  
1185 Avenue of the Americas  
New York, NY 10036  
Tel. (212) 278-0400

JHM/PCF:tl  
enc.

RECEIVED

SEP 23 2003

OFFICE OF PETITIONS

PCMaster v3.03.02 - Cooper & Dunham - [Patent]

File Edit Records Utilities Tools Help

Docket Number: 60196  
Country: United States  
Case Type: REGULAR CASE TYPE  
Relation Type: ORIGINAL OR PATENT CASE  
Filing Type: NATIONAL CASE  
Filing Number:

Attorney: JAY H. MAIOLI  
Agent:  
Client Division: KOIKE INTERNATIONAL PAT  
Current Owner: 6715  
Previous Owner:

ELECTRONIC EQUIPMENT AND OPERATION CONTROL METHOD THEREFOR

Inventors	Licenses	Other No's	Related Id	Title	Text
Filing Data	Related Party Info	Actions	Description	Expenses	Ext Files
Action	Action Due Date	Taken Date	Deadline Date	Completed Date	Responsible Atty #1
CHECK	24SE2000!				
INFORMATION	24OC2000*				
8mo FOREIGN FILING	24MR2001!				
10mo FOREIGN FILING	24MY2001!				
11mo FOREIGN FILING	24JE2001!				
12mo FOREIGN FILING	24JL2001!				
*					

Created: 02AU2000 Updated: 02AU2000 3:31 PM By: SMB

BEST AVAILABLE COPY

PCT Help Desk  
US Patent and Trademark Office  
Telephone: (703) 305-3257  
Facsimile: (703) 305-2919

RECEIVED  
SEP 23 2003  
OFFICE OF PETITIONS

# Fax

To: MENAR AHMED From: HARRY KIM  
Fax: 212-391-0525 Pages: 3  
Phone: \_\_\_\_\_ Date: Aug 11, 2003  
Re: 09/600,925 CC: \_\_\_\_\_

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

Here are the Missing Requirements &  
Abandonment letters.

YK

BEST AVAILABLE COPY

/600925

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	INVENTOR	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
----------------------	----------	-----------------------	------------------

5611

JAY H MAIOLI  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036

INFORMATIONAL ONLY - NOT FOR FILING

I.A. FILING DATE	PRIORITY DATE
11/26/98	11/26/98

08/08/00

DATE MAILED:

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☒ a Designated Office (37 CFR 1.494),
- ☐ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☒ a non-English language.
  - ☐ English.

- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of Inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report and copies of the references cited therein.

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917  
☐ PTO-875  
☐ Notice of Defective Translation  
 FORM PCT/DO/EO/905 (December 1997)

Francine Young  
National Stage Processing  
Paralegal Specialist  
(703) 305-3662

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents Box 101  
United States Patent and Trademark Office  
Washington, D.C. 20501  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/600,925	FIRST NAMED APPLICANT IZURU TANAKA	ATTY. DOCKET NO. 6715 60196
---	---------------------------------------	--------------------------------

INTERNATIONAL APPLICATION NO. PCT/JP99/06589
---

I.A. FILING DATE 11/25/1999	PRIORITY DATE 11/25/1998
--------------------------------	-----------------------------

JAY H MAIOLI  
1185 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

CONFIRMATION NO. 2605

371  
ABANDONMENT/TERMINATION  
LETTER

\*OC000000009094573\*

\*OC000000009094573\*

Date Mailed: 11/13/2002

## NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494), has made the following determination:

- Applicant has failed to respond to the notification of MISSING REQUIREMENTS, mailed 08/08/2000 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.494, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/909 (371 Abandonment Notice)

BEST AVAILABLE COPY

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

60196

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/JP99/06589

INTERNATIONAL FILING DATE

November 25, 1999

PRIORITY DATE CLAIMED

November 25, 1998

TITLE OF INVENTION

Electronic Equipment and Operation Control Method Therefor

APPLICANT(S) FOR DO/EO/US

Izuru Tanaka

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - 1.) Verification of Translation
  - 2.) Formal Drawings
  - 3.) International Search Report
  - 4.) References Cited in the International Search Report
  - 5.) Form PCT/IB/301,304,308
  - 6.) Copy of Front Page of Published PCT Application
  - 7.) Copy of PCT Request (PCT-Easy)

RECEIVED  
SEP 23 2003  
OFFICE OF PETITIONS



PCT/JP99/06589

6715/60196

- 17.
- ☒
- The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$ 840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =		X	\$	
Independent claims	2 - 3 =		X	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$	\$	

**TOTAL OF ABOVE CALCULATIONS =**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). \$

**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$

**TOTAL FEES ENCLOSED =**

Amount to be:	\$
refunded	
charged	\$

- a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jay H. Maioli  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

SIGNATURE/

Jay H. Maioli  
NAME

27,213  
REGISTRATION NUMBER

/600925

USPTO PCT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

KITTY: DOCKET NO. 56

FIRST NAMED APPLICANT

PCT APPLICATION NO.

TANAKA

5611

INFORMATION ON PCT/DO/EO/905 RPD

I.A. FILING DATE

PRIORITY DATE

08/08/00

JAY H MAIOLI  
1185 AVENUE OF THE AMERICAS  
NEW YORK NY 10036

DATE MAILED:

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☒ a Designated Office (37 CFR 1.494),
- ☐ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☒ a non-English language.
  - ☐ English.
- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report and copies of the references cited therein.
- ☒ Other: *PCT Easy Request, IB 301, 304, 308, IACOVER SHEET*

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917  
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

☐ Notice of Defective Translation

Francine Young  
National Stage Processing  
Paralegal Specialist  
(703) 305-3662

BEST AVAILABLE COPY